



#17  
Attorney Docket No.: 30014200.1077/P5701NP/ILM  
Customer No. 26263

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Group Art Unit: 2171

Neelam N. Vaidya, et al.

Examiner: S. Al-Hashemi

Application No.: 09/747,428

Filed: December 22, 2000

Title: MANAGING A LAYERED HIERARCHICAL DATA SET

RECEIVED  
MAR 25 2003  
TECHNOLOGY CENTER 2800

POWER OF ATTORNEY

Commissioner for Patents  
Washington, D.C. 20231

Sir:

I hereby appoint the attorney(s) and agent(s) of Sonnenschein Nath & Rosenthal associated with Customer Number 26263, and the attorneys named below, with full power of revocation and substitution, to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Anirma R. Gupta, Reg. No. 38,275, Sean P. Lewis, Reg. No. 42,798, Bernice B. Chen, Reg. No. 42,403, Noreen A. Krall, Reg. No. 39,734, Monica D. Ward, Reg. No. 40,696, Marc D. Foodman, Reg. No. 34,110, Elaine K. Lee, Reg. No. 41,936, Paul D. Sorkin, Reg. No. 39,039, Marilyn E. Glaubensklée, Reg. No. 35,521, Andrew C. Chen, Reg. No. 43,544, Pavel Pogodin, Reg. No. 48,205 and Jeffrey L. Myers, Reg. No. 44,252 all of SUN MICROSYSTEMS, INC., 4150 Network Circle, Santa Clara, California 95054.



Please type a plus sign (+) inside this box



PTO/SB/82 (10-00)

Approved for use through 10/31/2002. OMB 0851-0035

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

MAR 24 2003

**REVOCATION OF POWER OF  
ATTORNEY OR  
AUTHORIZATION OF AGENT**

Application Number	09/747,428
Filing Date	December 22, 2000
First Named Inventor	Neelam N. Valdia
Group Art Unit	2171
Examiner Name	S. Al-Hashemi
Attorney Docket Number	30014200,1077/P6701NP/JLM

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:

☒ A Power of Attorney or Authorization of Agent is submitted herewith.

OR

☐ Please change the correspondence address for the above-identified application to:

☐ Customer Number



Place Customer  
Number Bar Code  
Label here

OR

☐ Firm or  
Individual Name

Address

Address

City

Country

State

ZIP

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Name Jeffrey L. Myers

Signature

Date

03/21/2003

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ \*Total of 1 form is submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

TECHNOLOGY CENTER 2800

MAR 25 2003

RECEIVED



U.S. Application No.: 09/747,428



Atty. Docket No. 30014200.1077/P5701NP/JLM

Please change the correspondence address for the above-identified application to:

SONNENSCHN NATH & ROSENTHAL  
P.O. Box 061080  
Wacker Drive Station, Sears Tower  
Chicago, Illinois 60606-1080  
Phone: (202) 408-6348  
Fax: (312) 876-7457  
Customer Number 26263

RECEIVED  
MAR 25 2003  
TECHNOLOGY CENTER 2800

I am the Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

Signature: \_\_\_\_\_

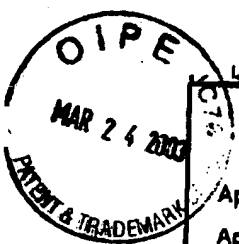
Date: \_\_\_\_\_

03/21/2003

Name: Jeffrey L. Myers

Title: Patent Counsel, Sun Microsystems, Inc.





**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Neelam N. Vaidya, et al.

Application No./Patent No.: 09/747,428

Filed/Issue Date: December 22, 2000

Entitled: Managing a Layered Hierarchical Data Set

Sun Microsystems, Inc.

a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

In the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel Q11737, Frame 0848, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.  
**(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]**

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

03/24/2003  
Date

Jeffrey L. Myers

Typed or printed name

Signature

Patent Counsel

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED

MAR 25 2003

TECHNOLOGY CENTER 2003



Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/747,428	
	<b>Filing Date</b>	December 22, 2000	
	<b>First Named Inventor</b>	Neelam N. Vaidya	
	<b>Group Art Unit</b>	2171	
	<b>Examiner Name</b>	S. Al-Hashemi	
<b>Total Number of Pages in This Submission</b>	5	<b>Attorney Docket Number</b>	30014200.1077/P5701NP/JLM

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation of Power of Attorney Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Statement Under 37 CFR 3.73(b)
Remarks <div style="border: 1px solid black; height: 40px; width: 100%;"></div>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Margo Livesay, Ph.D. Registration No. 41,946
Signature	<i>Margo Livesay</i>
Date	March 24, 2003

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.